

GNE.3230R1C49

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Goddard et al.
Appl. No. : 10/063,569
Filed : May 2, 2002
For: : SECRETED AND TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC ACIDS ENCODING
THE SAME
Examiner : Stephen Gucker
Art Unit : 1649

REQUEST TO CORRECT INVENTORSHIP**Pursuant to 37 C.F.R. §1.48**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request, pursuant to 37 C.F.R. §1.48, to have Colin K. Watanabe reinstated as a named inventor in the above-identified patent application. Colin K. Watanabe is a citizen of the United States of America, residing in Moraga, California, and signed the original declaration submitted in this patent application at the time of filing. Applicants submit that the deletion of Colin K. Watanabe as an inventor was an error that occurred without deceptive intent.

The inventors of U.S. Patent Application No. 10/063,547 should be listed as follows:

Audrey Goddard
Paul J. Godowski
J. Christopher Grimaldi
Austin L. Gurney
Colin K. Watanabe
William I. Wood

11/13/2007 NNGUYEN2 00000040 10063569

04 FC:1464

130.00 OP

Appl. No.: 10/063,569
Filed: May 2, 2002

Included herewith are a Statement Confirming No Deceptive Intent on the part of the reinstated inventor pursuant to 37 C.F.R. § 1.48(2), a copy of the original inventor's declaration pursuant to 37 C.F.R. § 1.48(3), written consent from the Assignee pursuant to 37 C.F.R. § 1.48(5), a Statement Under 37 § CFR 3.73(b), a copy of the original Assignment executed by the added inventor, and the fee set forth in 37 C.F.R. § 1.17(i).

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/9/2007 By: M.T. Morley
Marc T. Morley
Registration No. 52,051
Attorney of Record
Customer No. 30,313
(619) 235-8550

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PATENT

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Applicant : Goddard, et al.
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ENCODING THE SAME
Examiner : Stephen Gucker
Group Art Unit : 1649

STATEMENT CONFIRMING NO DECEPTIVE INTENT

Pursuant to 37 C.F.R. §1.48

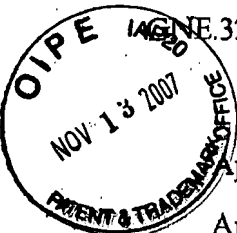
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The inventorship error of deleting Colin K. Watanabe as an inventor in the above-referenced patent application occurred without any deceptive intent on the part of Colin K. Watanabe.

Signed this 9th day of Oct, 2007 by Colin K. Watanabe
Colin K. Watanabe

4316153 sma092607



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Applicant : Goddard, et al.
Appl. No. : 10/063,569
Filed : May 2, 2002
For : SECRETED AND TRANSMEMBRANE
POLYPEPTIDES AND NUCLEIC ACIDS
ENCODING THE SAME
Examiner : Stephen Gucker
Group Art Unit : 1649

STATEMENT FROM ASSIGNEE

Pursuant to 37 C.F.R. §1.48

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

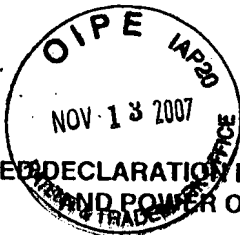
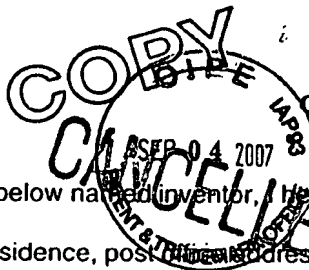
I hereby assert that I am authorized to act on behalf of the assignee, Genentech, Inc., 1 DNA Way, South San Francisco, California, 94080, the owner of the entire right, title and interest in the above-reference patent application, (see attached Statement under 37 C.F.R. § 3.73(b)). I further hereby assert that the assignee agrees to the inventorship change in the application to reinstate Colin K. Watanabe as a named inventor.

Signed this 18 day of October, 2007 by Mark T. Kresnak

Name: 

Title: Associate General Counsel, Director

GENENTECH, Inc.
1 DNA Way
South San Francisco, CA 94080



Docket No. P3230R1C1

**COMBINED DECLARATION FOR PATENT APPLICATION
AND POWER OF ATTORNEY**

COPY

As a below named inventor, I hereby declare that:

My residence, postal address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) ☒ is attached hereto or was filed on as Application Serial No. and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed
Yes No

Number	Country	Day/Month/Year Filed
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I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional applications(s) listed below:

Please see attached Appendix A (Listing 87 U.S. Provisional Patent Applications)

Application Ser. No.	Filing Date
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I hereby claim the benefit under Title 35, United States Code, § 120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached Appendix B (Listing 20 U.S. applications and 27 PCT applications)

Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned
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POWER OF ATTORNEY: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

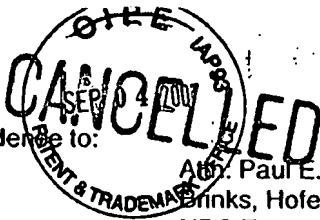


09157

PATENT TRADEMARK OFFICE

Paul E. Rauch, Registration No. 38,591, CUSTOMER NUMBER: 28,442

Send correspondence to:



Att. Paul E. Rauch
 Brinks, Hofer, Gilson & Lione
 NBC Tower - Suite 3600
 455 N. Cityfront Plaza Drive
 Chicago, IL 60611-5599
 Telephone: 312-321-4780
 Facsimile: 312-321-4299
 Email: prauch@brinkshofer.com



I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

10/2/01

Inventor's signature

Date

Residence 45 Inverness Dr.
 75 Knight Drive, San Rafael, CA 94901

Citizenship
 US

Post Office Address

1 DNA Way
 South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

Date

10/1/01

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 538 18th Avenue, San Francisco, CA 94121

Citizenship
 US

Post Office Address

1 DNA Way
 South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Gerritsen

Inventor's signature

Date

Residence
 541 Parrott Drive, San Mateo, CA 94402

Citizenship
 CA

Post Office Address

1 DNA Way
 South San Francisco, CA 94080

Send correspondence to:

Attn: Paul E. Rauch
Brinks, Hofer, Gilson & Lione
NBC Tower - Suite 3600
455 N. Cityfront Plaza Drive
Chicago, IL 60611-5599
Telephone: 312-321-4780
Facsimile: 312-321-4299
Email: prauch@brinkshofer.com

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full name of sole or first inventor

Dan L. Eaton

Inventor's signature

Date

Residence

75 Knight Drive, San Rafael, CA 94901

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of second joint inventor, if any

Ellen Filvaroff

Inventor's signature

Date

Residence

538 18th Avenue, San Francisco, CA 94121

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of third joint inventor, if any

Mary E. Gerritsen

Inventor's signature

Date

Residence

541 Parrott Drive, San Mateo, CA 94402

Citizenship

CA

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Oct 15, 2001

Full name of fourth joint inventor, if any

Audrey Goddard

Inventor's signature



Date

1 OCT. 2001

Residence

110 Congo Street, San Francisco, CA 94131

Citizenship

CA

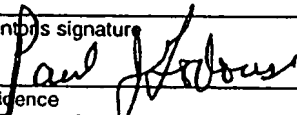
Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of fifth joint inventor, if any

Paul J. Godowski

Inventor's signature



Date

10/1/01

Residence

25 Orange Court, Hillsborough, CA 94010

Citizenship

US

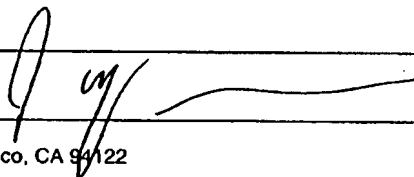
Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of sixth joint inventor, if any

J. Christopher Grimaldi

Inventor's signature



Date

10/2/01

Residence

1434 36th Avenue, San Francisco, CA 94122

Citizenship

US

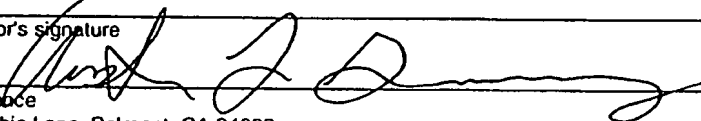
Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of seventh joint inventor, if any

Austin L. Gurney

Inventor's signature



Date

10/1/01

Residence

1 Debbie Lane, Belmont, CA 94002

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of eighth joint inventor, if any

Colin K. Watanabe

Inventor's signature

Colin K. Watanabe

Date

10.2.2001

Residence

128 Cortiss Drive, Moraga, CA 94556

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

Full name of ninth joint inventor, if any

William I. Wood

Inventor's signature

William I. Wood

Date

10/3/01

Residence

35 Southdown Court, Hillsborough, CA 94010

Citizenship

US

Post Office Address

1 DNA Way
South San Francisco, CA 94080

APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

1. 60/063435 Filed 10/29/97
2. 60/064215 Filed 10/29/97
3. 60/082797 Filed 4/22/98
4. 60/083495 Filed 4/29/98
5. 60/085579 Filed 5/15/98
6. 60/087759 Filed 6/2/98
7. 60/088021 Filed 6/4/98
8. 60/088029 Filed 6/4/98
9. 60/088030 Filed 6/4/98
10. 60/088734 Filed 6/10/98
11. 60/088740 Filed 6/10/98
12. 60/088811 Filed 6/10/98
13. 60/088824 Filed 6/10/98
14. 60/088825 Filed 6/10/98
15. 60/088863 Filed 6/11/98
16. 60/089105 Filed 6/12/98
17. 60/089514 Filed 6/16/98
18. 60/089653 Filed 6/17/98
19. 60/089952 Filed 6/19/98
20. 60/090246 Filed 6/22/98
21. 60/090444 Filed 6/24/98
22. 60/090688 Filed 6/25/98
23. 60/090696 Filed 6/25/98
24. 60/090862 Filed 6/26/98
25. 60/091628 Filed 7/2/98
26. 60/096012 Filed 8/10/98
27. 60/096757 Filed 8/17/98
28. 60/096949 Filed 8/18/98
29. 60/096959 Filed 8/18/98
30. 60/097954 Filed 8/26/98
31. 60/097971 Filed 8/26/98
32. 60/097979 Filed 8/26/98
33. 60/098749 Filed 9/1/98
34. 60/099741 Filed 9/10/98
35. 60/099763 Filed 9/10/98
36. 60/099792 Filed 9/10/98
37. 60/099812 Filed 9/10/98
38. 60/099815 Filed 9/10/98
39. 60/100627 Filed 9/16/98
40. 60/100662 Filed 9/16/98
41. 60/100683 Filed 9/17/98
42. 60/100684 Filed 9/17/98
43. 60/100930 Filed 9/17/98
44. 60/101279 Filed 9/22/98
45. 60/101475 Filed 9/23/98

46.	60/101738	Filed 9/24/98✓
47.	60/101743	Filed 9/24/98
48.	60/101916	Filed 9/24/98
49.	60/102570	Filed 9/30/98
50.	60/103449	Filed 10/6/98
51.	60/103678	Filed 10/8/98
52.	60/103679	Filed 10/8/98
53.	60/103711	Filed 10/8/98
54.	60/105000	Filed 10/20/98
55.	60/105002	Filed 10/20/98
56.	60/105881	Filed 10/27/98
57.	60/106030	Filed 10/28/98
58.	60/106464	Filed 10/30/98
59.	60/106856	Filed 11/3/98
60.	60/108807	Filed 11/17/98
61.	60/112419	Filed 12/15/98
62.	60/112422	Filed 12/15/98
63.	60/112853	Filed 12/16/98
64.	60/113011	Filed 12/16/98
65.	60/112854	Filed 12/16/98
66.	60/113300	Filed 12/22/98
67.	60/113408	Filed 12/22/98
68.	60/113430	Filed 12/23/98
69.	60/113621	Filed 12/23/98
70.	60/114223	Filed 12/30/98
71.	60/115614	Filed 1/12/99
72.	60/116527	Filed 1/20/99
73.	60/116843	Filed 1/22/99
74.	60/119285	Filed 2/9/99
75.	60/119287	Filed 2/9/99
76.	60/119525	Filed 2/10/99
77.	60/119549	Filed 2/10/99
78.	60/120014	Filed 2/11/99
79.	60/129122	Filed 4/13/99
80.	60/129674	Filed 4/16/99
81.	60/131291	Filed 4/27/99
82.	60/138387	Filed 6/9/99
83.	60/144791	Filed 7/20/99
84.	60/169495	Filed 12/7/99
85.	60/175481	Filed 1/11/00
86.	60/191007	Filed 3/21/00
87.	60/199397	Filed 4/25/00

APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below:

US APPLICATIONS

1. 09/380139 Filed 8/25/98
2. 09/311832 Filed 5/14/99
3. 09/380137 Filed 8/25/99 ABD
4. 09/380138 Filed 8/25/99 ABD
5. 09/380142 Filed 8/25/99 ABD
6. 09/397342 Filed 9/15/99
7. 09/403297 Filed 10/18/99 ABD
8. 09/423844 Filed 11/12/99 ABD
9. 09/644848 Filed 8/22/00
10. 09/665350 Filed 9/18/00
11. 09/664610 Filed 9/18/00 ABD
12. 09/709238 Filed 11/8/00
13. 09/747259 Filed 12/20/00
14. 09/816744 Filed 3/22/01
15. 09/854208 Filed 5/10/01
16. 09/854280 Filed 5/10/01
17. 09/870574 Filed 5/30/01
18. 09/874503 Filed 6/5/01
19. 09/869599 Filed 6/29/01
20. 09/908,827 Filed 7/18/01

PCT APPLICATIONS

1. PCT/US98/19330 Filed 9/16/98
2. PCT/US99/05028 Filed 3/8/99
3. PCT/US99/10733 Filed 5/14/99
4. PCT/US99/12252 Filed 6/2/99
5. PCT/US99/20111 Filed 9/1/99
6. PCT/US99/21090 Filed 9/15/99
7. PCT/US99/21194 Filed 9/15/99
8. PCT/US99/30720 Filed 12/22/99
9. PCT/US00/04341 Filed 2/18/00
10. PCT/US00/04342 Filed 2/18/00
11. PCT/US00/04414 Filed 2/22/00
12. PCT/US00/05601 Filed 3/1/00
13. PCT/US00/08439 Filed 3/30/00
14. PCT/US00/14042 Filed 5/22/00
15. PCT/US00/15264 Filed 6/2/00
16. PCT/US00/23522 Filed 8/23/00
17. PCT/US00/23328 Filed 8/24/00
18. PCT/US00/30873 Filed 11/10/00
19. PCT/US00/32378 Filed 12/1/00
20. PCT/US00/34956 Filed 12/20/00
21. PCT/US01/06520 Filed 2/28/01

- 22. PCT/US01/06666 Filed 3/1/01
- 23. PCT/US01/17443 Filed 5/30/01
- 24. PCT/US01/17800 Filed 6/1/01
- 25. PCT/US01/19692 Filed 6/20/01
- 26. PCT/US01/21066 Filed 6/29/01
- 27. PCT/US01/21735 Filed 7/9/01